THE MEDIEVAL ENGLISH BOROUGH

STUDIES ON ITS ORIGINS AND CONSTITUTIONAL HISTORY

BY

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PREFACE

As its sub-title indicates, this book makes no claim to be the long overdue history of the English borough in the Middle Ages. Just over a hundred years ago Mr. Serjeant Merewether and Mr. Stephens had The History of the Boroughs and Municipal Corporations of the United Kingdom, in three volumes, ready to celebrate the sweeping away of the medieval system by the Municipal Corporation Act of 1835. It was hardly to be expected, however, that this feat of bookmaking, good as it was for its time, would prove definitive. It may seem more surprising that the centenary of that great change finds the gap still unfilled. For half a century Merewether and Stephens' work, sharing, as it did, the current exaggeration of early "democracy" in England, stood in the way. Such revision as was attempted followed a false trail and it was not until, in the last decade or so of the century, the researches of Gross, Maitland, Mary Bateson and others threw a flood of new light upon early urban development in this country, that a fair prospect of a more adequate history of the English borough came in sight. Unfortunately, these hopes were indefinitely deferred by the early death of nearly all the leaders in these investigations. Quite recently an American scholar, Dr. Carl Stephenson, has boldly attempted the most difficult part of the task, but his conclusions, in important respects, are highly controversial.

When in 1921 an invitation to complete Ballard's unfinished British Borough Charters induced me to lay aside other plans of work and confine myself to municipal history, I had no intention of entering into thorny questions of origins. A remark of Gross in the introduction to his Bibliography of British Municipal History (1897) that "certain cardinal features of the medieval borough, such as the firma burgi, the judiciary and the governing body, still need illumination" suggested the studies, printed, chiefly in the English Historical Review, between 1925 and 1930, which, with some revision,

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PREFACE

form chapters VII-XI of the present volume. Another, on the borough courts and assemblies, had been planned when my attention was diverted to the pre-Conquest period by the appearance in the English Historical Review in July, 1930, of a revolutionary article by Dr. Stephenson in which he sought to prove that, with inconsiderable exceptions, the Anglo-Saxon boroughs were still no more than administrative and military centres in 1066. A thorough re-study of all the evidence for that very difficult period took so long that, save for a chapter on its origins, the subject of borough jurisdiction has had regretfully to be left to younger investigators. Another and more deliberate omission is the history of formal incorporation on which, I am glad to say, my friend Dr. Martin Weinbaum has a book in the press.

The chapters dealing with the Anglo-Saxon borough were nearly complete when Dr. Stephenson's enlarged treatment of the subject in his book *Borough and Town* appeared, in 1933. His modifications of his views as originally stated are, however, practically confined to a large extension of his list of exceptions, his conception of the "ordinary" borough remaining unaltered, so that it was not necessary to recast completely what I had written. When required, references are given to a summary (chapter VI) of the exceptions Dr. Stephenson now allows.

In his article of 1930, the late Professor Pirenne's conception of town life in the Netherlands as the result of mercantile settlement under the shelter of fortified administrative centres was applied to England with such rigour as virtually to make the Norman Conquest the starting-point of its urban development. And though in his book Dr. Stephenson admits earlier mercantile settlements in the populous boroughs of the Danelaw and makes some wider but vaguer concessions, he still retains in his title and general exposition the sharp antithesis between borough and town. For this he claims, as forerunners, Maitland and Miss Bateson, but, apart from his "garrison theory," Maitland was much more cautious and Miss Bateson's estimate of French influence upon the post-Conquest borough is pressed too far. She did not, for instance, regard it as inconsistent with the view that the Anglo-Saxon borough had a distinctively urban court, a view which Dr. Stephenson strongly combats.

Even in the country of its first statement the antithesis tends to be less sharply drawn. M. Paul Rolland's study of

"the origins of the town of Tournai" (1931) shows that in suitable spots a trading population could develop gradually from an agricultural one. At Tournai there was no large mercantile settlement from without (See *English Historical Review*, 1933, p. 688).

At first sight Dr. Stephenson's concession that even if there had been no Norman Conquest "London's charter might well have contained the same major articles, if it had been granted by a son of Harold, rather than by a son of William" might seem to yield more ground than has been indicated. But it is qualified by a statement that by 1066 Anglo-Saxon England was only just coming under the influence of the commercial revival on the Continent. It is difficult to reconcile this with the fact that London's foreign trade c. 1000 was as wide, if not as great, as it was under Henry I.

This limited recognition of an urban continuity across the Conquest does not extend to the agricultural aspect of the borough. A stronger contrast could hardly be imagined than that between the manorial system which Dr. Stephenson conceives to have prevailed in the cultivation of the fields of the Anglo-Saxon borough and that which is found in working after the Conquest, and no explanation of this unrecorded transformation is offered.

Dr. Stephenson deserves every credit for his pioneer effort of reconstruction, he has done good service in diverting attention from vain attempts to find precise definitions in a non-defining age to the safe ground of social and commercial development, while his treatment of the problem of early borough jurisdiction, though not wholly acceptable, rightly emphasizes the very general origin of burghal courts as units in the hundred system of the country at large. But his book contains too much that is disputable to constitute the first part of a definitive history of the English borough.

Dr. Stephenson's own criticisms of some of the views advanced in my reprinted articles, e.g. as to the influence of the Continental commune upon the communal movement in England at the end of the twelfth century, are discussed in appendices to the respective articles. This has involved some repetition, but the articles were already sufficiently controversial and the opportunity has been gained of adding a little fresh matter. The document of 1205 preserved by

 $^{^{1}}$ With its bishop's see Tournai may have been more favourable to such growth than the ordinary feudal burg.

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Gervase of Canterbury (below, p. 253) has apparently never been considered in its bearing on the communal movement nor has its early reference to the new office of mayor been previously noted. The appendix on the barons of London and of the Cinque Ports will, it is hoped, do something to remove that uncertainty as to the precise origin and meaning of the title which is found in the older books.

With some hesitation, I have appended my British Academy lecture of 1921 on the study of early municipal history in England. It much needed revision and may serve as a general introduction to the post-Conquest studies and a supplement to their casual treatment of the seignorial borough.

I have to thank the editor and publishers of the English Historical Review, the Council of the British Academy, and the Tout Memorial Committee for kind permissions to reprint articles. My indebtedness to younger scholars who have kept me in touch with recent research in borough archives, closed to me by impaired eyesight and advancing years, will be found frequently acknowledged in footnotes.

JAMES TAIT.

THE UNIVERSITY,
MANCHESTER, March 7th, 1936.

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ADDENDA AND CORRIGENDA

Page 83, l. 20	"Opus in curia" might, however, include lifting and stacking hay (Vinogradoff, Villainage, p. 444).
,, 89, <i>l.</i> 16	Eight virgates. Cf. ibid. p. 381.
,, 97, <i>l</i> . 8	For fripeni read fripene.
,, 98	For the charter, probably of Abbot Robert de Sutton (1262-73), to the men of Peterborough "which offers release from seignorial exploitation (including merchet), but in the most restricted terms" see V.C.H., Northants, ii. 425. A similar charter was granted to Oundle.
,, 118	For the importance of the English textiles industry in the tenth century and their export to France see E.H.R. xlii. (1927), 141.
,, 131, <i>l</i> . 13	For weigh read way.
,, 145, <i>l</i> . 17	Earl William's houses were perhaps private, not comital.
,, 149, n. 2	Although D.B. in the passage quoted says quite clearly that William gave to Robert de Stafford half of his own share of the revenues of the borough, Robert is reported under his own fief (f. 248b, 2) to be claiming 70s., which was half of the combined shares of king and earl, then both in William's hands.
,, 184	Though Dover rendered £54 in 1086, its true value was estimated to be £40.
,, 230, <i>l</i> . 6	The burgesses of Gloucester having had a bare grant of fee farm in 1194 (B.B.C. i. 224), it seems clear that the importance of such a full grant of liberties as John's is underestimated here and on p. 250. In his reign these grants perhaps carried with them, unexpressed, allowance of sworn association (see pp. 251-2).

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ADDENDA AND CORRIGENDA

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Page	235 (cf. 226)	According to two charters in the cartulary of St. Frideswide's (i. 26, 33) the dispute between the canons and the citizens went back to the reign of Stephen, who confirmed a grant by the latter to the canons of their rent of 6s. 8d. from Medley "ad restaurandum luminare predicte ecclesie quod amiserant pro stallis que per eos perdiderant."
,,	292, n. 1	I owe this fact to Miss Catherine Jamison.
,,	304, <i>l</i> . 10	The Winchester court was called burghmote not burwaremote.
,,	353	The "inferior limit of burgality" can hardly have been lower than at Peterborough (see the addendum to p. 98 above) before the thirteenth-century charter, itself grudging enough.
,,	364	S.v. Gilds. For trade and craft read craft.
**	13	S.v. Gloucester. Add reference to p. 102.

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The following abbreviations have been used in the footnotes to the text and in the bibliography:—

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A.S.C.
            = Anglo-Saxon Chronicle.
            = Chadwick, Anglo-Saxon Institutions.
A.S.I.
            = British Borough Charters.
B.B.C.
            = Bateson. Borough Customs.
B.C.
B.M.
            = British Museum.
            = Calendar of Close Rolls.
C.C.R.
            = Calendar of Charter Rolls.
C.Ch.R.
            = Calendar of Patent Rolls.
C.P.R.
            = Birch, Cartularium Saxonicum,
C.S.
            = Domesday Book.
D.B.
D.B. and B. = Maitland, Domesday Book and Beyond.
E.E.T.S.
            = Early English Text Society.
E.H.R.
            = English Historical Review.
P.R.
            = Pipe Rolls.
            = Public Record Office.
P.R.O.
            = Rotuli Litterarum Clausarum.
R.L.C.
R.S.
            = Rolls Series.
            = Victoria History of Counties.
V.C.H.
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which Gross mainly relied. The actual charter (1299) might indeed seem incompatible with his view. It opens with the liber burgus clause to which is attached the grant of the liberties pertaining "ad liberum burgum" usually reserved for the Volumus clause, with a proviso (ita tamen quod) that the borough should be kept by a warden appointed by the king, i.e. not by an elective mayor. Eight liberties and customs are then separately granted: the right of devise, return of writs, freedom from external pleading, an elective coroner, a royal prison and gallows (for judgement of infangenethief and utfangenethief), freedom from tolls throughout the king's dominions, lot and scot in tallages by all enjoying the liberties, and two markets and a fair. The free borough and liberties clause and each of these grants are individually recited in the Volumus section. On the face of it, there seems to be a distinction made between the liberties pertaining to a free borough and those which are specified. Fortunately, there has been preserved and printed by Madox 2 the petition from the men of Kingston on which the charter was granted, and this contains the substance of its clauses in practically the same order. The inclusion of the proviso about the warden, and the petition and charter of the men of Ravenserod, identical except in the market and fair clause, seem to show that the petition was not uninfluenced from above,3 but it may well be that the anxiety of the applicants to have their most important privileges set out in full accounts for their separate position in the charter. At any rate, we have a definite statement in the report of an ad quod damnum inquiry before the royal council (which has preserved the petition), that these were free borough privileges. The petitioners, it is stated, asked to be allowed to use and enjoy "quibusdam Libertatibus ad Liberum Burgum in Regno vestro pertinentibus." For any liberties and customs not specified but authorized by the general clause of their charter the new burgesses perhaps used Scarborough as their model, since they asked for exemption from toll as enjoyed by the burgesses of that town.

LIBER BURGUS

Still further confirmation of Gross's interpretation of liber burgus comes from a charter of Edward which does not found a new borough, but enlarges an old one. In 1298 he annexed the lands of Pandon to the borough of Newcastle-on-Tyne and ordained that they should be one vill and one borough. The charter goes on to grant that the burgesses of Newcastle should have in the lands and tenements of Pandon "liberum burgum sicut habent in predicta villa Novi Castri cum omnibus libertatibus et liberis consuctudinibus ad liberum burgum pertinentibus." 2 Here liber burgus must certainly carry more than the mere conversion of the Pandon lands and tenements into Newcastle burgages, for that is the subject of a special clause.3

Lastly, at Liverpool, where there was no question of new foundation or extension, we find the burgesses in 1292 identifying free borough with their lease of the farm of the town.4 Their case was weak, for they had no perpetual lease, but the claim confirms Gross's view.

This Liverpool identification of liber burgus with financial autonomy perhaps reveals a tendency of the term at the end of the thirteenth century to take on a narrower and more technical meaning. For the number of liberi burgi was certainly decreasing. This was the inevitable result of the extension of higher franchises to the more advanced boroughs and the differentiation produced by the reorganization of the police system culminating in the Statute of Winchester (1285) and by the introduction of a higher borough rate in national taxation. The smaller mesne boroughs whose privileges did not extend much beyond burgage tenure were losing burghal status and descending into the new category of villae mercatoriae. The process was somewhat slow, and was not complete until the fourteenth century was well advanced, but its causes lay far back. Among the boroughs which suffered this fate was Manchester. Recognized as a borough in royal inquisitions as late as 1322, and having a charter of 1301 closely following that of Salford (a liber burgus), it was judicially declared in 1359 not to be held by its lords as a borough but as a villa mercatoria, 5 a

¹ Madox, Firma Burgi (1726), pp. 272-3.

² History of the Exchequer, i. 423.

³ The town had been governed by royal wardens since Edward I acquired it from the abbot of Meaux in 1293. The townsmen had held by rent from the abbey and under the king the vill is occasionally called a borough before 1299 (J. Bilson, Wyke-upon-Hull in 1293 (Hull, 1928), pp. 61 ff., 71, 104). It will be noted that the warden proviso implies that an elective head was a normal liberty of a free borough.

² Ibid. p. 6.

⁴ See above, p. 196, n. 2. The Liverpool historians describe the lease as a fee farm, but a fee farm was a lease in perpetuity and the Liverpool grants were only for terms of years.

⁵ Harland, Manecestre, ni. 449. Yet in the sense of "merchants-town" the term could be applied even to Norwich (Hudson, Rec. i. 63); cf. Law Merchant (Selden Soc.), ii. 104; Madox, Firma Burgi, 250, i, and B.B.C. II.

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